SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB	APPRO\	/AL

OMB Number:	3235-0287					
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Obligations ma	Form 4 or Form 5 y continue. See	•	Filed pure	want to Costion 100		ritica Evolution Act of 1024				ated average bur per response:	den 0.5
Instruction 1(b)).					rities Exchange Act of 1934 Company Act of 1940	ł				
1. Name and Addr	ress of Reporting P AUM LEON			Issuer Name and T aktree Specia		ng Symbol <u>ng Corp</u> [OCSL]		all applicable Director Officer (give	e)		Owner (specify
(Last) 525 OKEECH SUITE 1770	(First) OBEE BLVD	(Middle	· .	Date of Earliest Tra /04/2020	nsaction (Mor	th/Day/Year)		below)		below)
(Street)			4.	f Amendment, Date	e of Original F	iled (Month/Day/Year)	Line)			o Filing (Check	
WEST PALM BEACH	FL	33401	L				X			e Reporting Per re than One Re	
(City)	(State)	(Zip)									
	1	Table I - N	Ion-Derivative	e Securities Ad	cquired, D	isposed of, or Benet	ficially	Owned			
1. Title of Security (Instr. 3) 2. Transactio Date				A. Deemed Execution Date, Code (Instr. 3, 4 and 5) Code (Instr. 3, 4 and 5)						6. Ownership Form: Direct	7. Nature of Indirec

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr.		Disposed Of	(D) (Inst	r. 3, 4 and 5)	Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150. 4)
Common Stock	12/04/2020		S		43,203	D	\$5.6033 ⁽¹⁾	16,360,790	D	
Common Stock								1,012,751	I	Trust ⁽²⁾
Common Stock	12/07/2020		S		15,923	D	\$5.6004 ⁽³⁾	16,344,867	D	
Common Stock								1,012,751	Ι	Trust ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction was executed in multiple trades at prices ranging from \$5.60 to \$5.6150; the price reported reflects the weighted average price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

2. These shares of Common Stock are held by the Leonard M. Tannenbaum 2012 Trust for benefit of certain members of the reporting person's family for which James Castro-Blanco is a Co-Trustee. The Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest.

3. This transaction was executed in multiple trades at prices ranging from \$5.60 to \$5.6050; the price reported reflects the weighted average price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

Remarks:

James Castro-Blanco as Attorney in Fact

12/08/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.